

The Ohio State University Consent to Participate in Research

Study Title: The Gut Microbiome in Breast Cancer Survivors

Principal Investigator: Janice Kiecolt-Glaser, PhD

Sponsor: Cancer Control Program, OSUMC Comprehensive Cancer Center

- **This is a consent form for research participation.** It contains important information about this study and what to expect if you decide to participate. Please consider the information carefully. Feel free to discuss the study with your friends and family and to ask questions before making your decision whether or not to participate.
- **Your participation is voluntary.** You may refuse to participate in this study. If you decide to take part in the study, you may leave the study at any time. No matter what decision you make, there will be no penalty to you and you will not lose any of your usual benefits. Your decision will not affect your future relationship with The Ohio State University. If you are a student or employee at Ohio State, your decision will not affect your grades or employment status.
- **You may or may not benefit as a result of participating in this study.** Also, as explained below, your participation may result in unintended or harmful effects for you that may be minor or may be serious depending on the nature of the research.
- **You will be provided with any new information that develops during the study that may affect your decision whether or not to continue to participate.** If you decide to participate, you will be asked to sign this form and will receive a copy of the form. You are being asked to consider participating in this study for the reasons explained below.

Key Information About This Study

This study is being conducted to help understand how your mood, diet and fatigue following cancer treatment might be related to the gut microbiome and your immune system. The study involves coming to the Ohio State University Wexner Medical Center for an in-person study visit that will last approximately 2.5 hours. The visit will consist of a blood draw, completion of questionnaires and interviews. After the visit, you will then be asked to collect a stool sample at home which we will pick up and to complete two phone calls during which we will ask about your diet. You will receive feedback on your diet as it relates to federal guidelines for a healthy diet.

36 **1. Why is this study being done?**
37

38 Everyone has bacteria in their gut (intestines), and these bacteria help the body to digest
39 food and synthesize vitamins. Studies have also linked differences in the gut microbiome
40 (the bacteria in your gut) to moods and to a person's typical daily diet, as well as gut
41 health and metabolic disorders.
42

43 This study is designed to help understand how your mood, your diet, and your cancer
44 treatment may affect your gut microbiome and your immune system; the study will also
45 assess how your microbiome might be related to fatigue following cancer treatment.
46

47 You are being invited to participate in this study because you are part of the IMPACT or
48 HEART studies for our Breast Cancer Survivors Research Program where you were asked
49 about both cancer-related fatigue and immune responses that may be relevant for fatigue.
50 The same researchers who are conducting the IMPACT or HEART research projects are
51 conducting this study. This new project will provide additional detailed information on
52 your gut microbiome and how it may be related to fatigue.
53

54 **2. How many people will take part in this study?**
55

56 150 breast cancer survivors.
57

58 **3. What will happen if I take part in this study?**
59

60 You will complete a short telephone interview about your current health and medications
61 to determine if you are eligible to take part in the study; if you are eligible for the study
62 and you agree to participate, you will be scheduled for a 2 ½ hour in-person appointment
63 at The Ohio State University Wexner Medical Center. All visits will occur on a weekday
64 starting between 7:30 AM and 9:30 AM.
65

66 During the visit, you will have 65 ml (2.2 ounces, or just over 1/4 cup) of blood drawn
67 from a vein in your arm by a trained study staff member.
68

69 You will complete survey questions of a personal nature regarding your medications,
70 health conditions, mood, feelings, health behaviors, personality, as well as aspects of your
71 current living environment (for example, partner, children, and pets) that may be related to
72 your gut microbiome. You will be asked about depression and anxiety symptoms that you
73 have experienced, and this interview will be audio-recorded so that interview responses
74 can be formally coded; all digital recordings will be kept in our password-protected
75 computer system. As part of our standard procedures, you will be given a list of local
76 psychological counseling services should you wish to talk with someone at that time or in
77 the future about any problems you are having.
78

79 A research assistant will place sensors on your chest and wrist to assess your heart rate
80 and perspiration throughout the visit. Sensors will also be placed on your stomach to
81 measure the activity of your stomach muscles, so we will ask you not to wear a dress or
82 high-waisted jeans to your visit. Your blood pressure may also be measured several times
83 throughout the visit. The researchers are interested in how these measures may be related
84 to your mood and the stress in your life.

85
86 In order to assess the typical foods you eat that are related to your microbiome, you will
87 be interviewed and asked to list all the foods you ate in the last 24 hours three times: once
88 during the visit, and twice on the phone. These interviews will last 15-25 minutes each.

89
90 You will provide a stool sample for your gut microbiome analysis, and you will be asked
91 about bowel-related problems like constipation and diarrhea. To prepare for this, you will
92 be asked to follow your usual diet for the three days leading up to your study visit. You
93 will be given the materials you need to collect your sample, including a paper collection
94 band, disposable gloves, and collection tube with attached spoon. You will collect the
95 sample either at the visit or at your home by putting the paper band on the toilet seat
96 before a bowel movement. You will then put on the disposable gloves and use the spoon
97 to place a walnut size stool sample into the collection tube. The tube should then be placed
98 into the insulated container provided along with the reusable ice pack. The rest of the
99 sample along with the biodegradable paper band can be flushed. Finally, if you collect
100 your sample at home, the insulated container and its contents should be placed in the
101 refrigerator for temporary storage and you can call study staff to schedule a pick-up.

102
103 Your samples will not have identifying information such as your name, initials, or address
104 on it, only a code number. The specimens for testing will be stored with the same code
105 number that is used on all the questionnaires and other forms you have completed; the
106 results of the testing will be kept confidential and will not be released. Names and other
107 identifying information will be kept separate from the samples. No identifying
108 information will be in any publication or reports, only group results, with no personal
109 code number or other identifiers, will be reported.

110
111 We will get information from your doctor's treatment records about the tests you have had
112 for your breast cancer, as well as information on the type of breast cancer, the stage, and
113 the treatment you received, any current medications, and other health conditions so we can
114 see if these are related to your immune responses. Data from the prior study in which you
115 participated will be accessed/analyzed for this new study.

116
117 You provided information about any medications and current physical problems as part of
118 the screening process and also when you were scheduled for this study. If you have started
119 taking any new medications or have developed any significant health problems, you must
120 inform the researchers immediately.

121

122 If you are pregnant, nursing, or become pregnant, you cannot participate in this study. If
123 you think there is a chance that you are pregnant, you must inform the researchers
124 immediately.
125

126 **4. How long will I be in the study?**
127

128 Your participation at The Ohio State University Wexner Medical Center will include a 2½
129 hour in-person appointment. In addition, collecting your gut microbiome stool samples at
130 home will take 5-10 minutes, and the two over-the-phone food recall interviews will each
131 take 15-25 minutes. The total anticipated participation time for all study participation is
132 between 3 hours, 5 minutes and 3½ hours.
133

134 **5. Can I stop being in the study?**
135

136 You may leave the study at any time. If you decide to stop participating in the study, there
137 will be no penalty to you, and you will not lose any benefits to which you are otherwise
138 entitled. Your decision will not affect your future relationship with The Ohio State
139 University.
140

141 In addition, your study participation can be stopped without your consent if we cannot
142 obtain blood samples from you, or if you do not return your microbiome sample. Any
143 results obtained prior to your withdrawal from the study will be part of the overall study
144 results.
145

146 **6. What risks, side effects or discomforts can I expect from being in the study?**
147

148 For the blood draws: You may experience bruising at the blood draw site, infection, pain,
149 fainting, and redness. These are risks that occur whenever blood is drawn.
150

151 For questionnaires and interviews: Personal questions may make you uncomfortable or be
152 stressful. Should you reveal suicidal intent, we are required to evaluate risk and may
153 contact appropriate authorities if you appear to be at imminent risk for harm to yourself or
154 others. Researchers will provide a list of mental health counseling resources.
155

156 For stool sample collection: Inconvenience.
157

158 **7. What benefits can I expect from being in the study?**
159

160 You will receive a report based on your answers to the three food recall interviews after
161 you have finished all interviews; all three are necessary to provide valid feedback. The
162 report will tell you about your eating patterns, such as how closely your intake of calories,
163 fats, fiber, protein and carbohydrates compares to the Dietary References Intakes, a set of
164 federal standards for nutrient amounts that should be present in a healthy diet.
165

166 **8. What other choices do I have if I do not take part in the study?**
167

168 You may choose not to participate without penalty or loss of benefits to which you are
169 otherwise entitled.
170

171 **9. Will my study-related information be kept confidential?**
172

173 Efforts will be made to keep your study-related information confidential. However, there
174 may be circumstances where this information must be released. For example, personal
175 information regarding your participation in this study may be disclosed if required by state
176 law.
177

178 Also, your records may be reviewed by the following groups (as applicable to the
179 research):

- 180 • Office for Human Research Protections or other federal, state, or international
181 regulatory agencies;
- 182 • U.S. Food and Drug Administration;
- 183 • The Ohio State University Institutional Review Board or Office of Responsible
184 Research Practices;
- 185 • The sponsor supporting the study, their agents or study monitors; and
- 186 • Your insurance company (if charges are billed to insurance).
187

188 If this study is related to your medical care, your study-related information may be placed
189 in your permanent hospital, clinic, or physician's office records. Authorized Ohio State
190 University staff not involved in the study may be aware that you are participating in a
191 research study and have access to your information.
192

193 If we find information that significantly impacts your health, we will share it with you.
194 Your dietary report will be sent to you as described above. Your blood pressure readings
195 will be shared with you at your visit. The microbiome data and the specialized blood tests
196 for this study do not have values that we can interpret as normal or not normal for your
197 health, and will not be shared with you or your doctor. Similarly, questionnaire and
198 physiological data are collected for research purposes only and can not be used to make
199 diagnostic decisions. No medical report will be added to your medical records based on
200 any part of your research participation.
201

202 You may also be asked to sign a separate Health Insurance Portability and Accountability
203 Act (HIPAA) research authorization form if the study involves the use of your protected
204 health information.
205

206 **10. Will my de-identified information and bio-specimens be used or shared for**
207 **future research?**
208

209 Yes, they may be used or shared with other researchers without your additional informed
210 consent.

211

212 **11. What are the costs of taking part in this study?**

213

214 There is no cost to you for participating in this study. All procedures are paid by the study,
215 and participants do not pay for any procedures.

216

217 **12. Will I be paid for taking part in this study?**

218

219 You will either receive Amazon gift cards or a check (depending on the researchers'
220 funding at the time) to compensate you for your time. When you come to OSU for the
221 study, you will receive free parking. For the visit you will receive \$45 for the
222 questionnaires and interviews, \$10 for the blood draw, and \$25 for the gut microbiome
223 (stool) sample; you can receive an additional \$10 for each of the two food recall
224 interviews that take place outside of the OSU visit. Therefore, you will have an
225 opportunity to receive a total of \$100 for participating in the whole study. You will be
226 paid once we have received your stool sample and you have finished the last of the food
227 recall interviews, and a check or gift cards in the appropriate amount will be mailed to you
228 within 2-4 weeks. If you do not receive your payment within 4 weeks of providing your
229 stool sample, please notify the researchers. If you choose to not provide your stool sample,
230 you will still be compensated for the study activities you do complete. By law, payments
231 to subjects are considered taxable income.

232

233 **13. What happens if I am injured because I took part in this study?**

234

235 If you suffer an injury from participating in this study, you should notify the researcher or
236 study doctor immediately, who will determine if you should obtain medical treatment at
237 The Ohio State University Wexner Medical Center.

238

239 The cost for this treatment will be billed to you or your medical or hospital insurance. The
240 Ohio State University has no funds set aside for the payment of health care expenses for
241 this study.

242

243 **14. What are my rights if I take part in this study?**

244

245 If you choose to participate in the study, you may discontinue participation at any time
246 without penalty or loss of benefits. By signing this form, you do not give up any personal
247 legal rights you may have as a participant in this study.

248

249 You will be provided with any new information that develops during the course of the
250 research that may affect your decision whether or not to continue participation in the
251 study.

252

253 You may refuse to participate in this study without penalty or loss of benefits to which
254 you are otherwise entitled.

255
256 An Institutional Review Board responsible for human subjects research at The Ohio State
257 University reviewed this research project and found it to be acceptable, according to
258 applicable state and federal regulations and University policies designed to protect the
259 rights and welfare of research participants.

260

261 **15. Who can answer my questions about the study?**

262

263 For questions, concerns, or complaints about the study you may contact the study
264 coordinator at 614-293-4736.

265

266 For questions about your rights as a participant in this study or to discuss other study-
267 related concerns or complaints with someone who is not part of the research team, you
268 may contact the Office of Responsible Research Practices at 1-800-678-6251.

269

270 If you are injured as a result of participating in this study or for questions about a study-
271 related injury, you may contact the study coordinator at 614-293-4736.

272

273

274 **Signing the consent form**

275
276 I have read (or someone has read to me) this form and I am aware that I am being asked to
277 participate in a research study. I have had the opportunity to ask questions and have had them
278 answered to my satisfaction. I voluntarily agree to participate in this study.

279
280 I am not giving up any legal rights by signing this form. I will be given a copy of this form.
281

_____	_____
Printed name of subject	Signature of subject
	_____ AM/PM
	Date and time
_____	_____
Printed name of person authorized to consent for subject (when applicable)	Signature of person authorized to consent for subject (when applicable)
	_____ AM/PM
_____	_____
Relationship to the subject	Date and time

282
283
284
285 **Investigator/Research Staff**

286
287 I have explained the research to the participant or his/her representative before requesting the
288 signature(s) above. There are no blanks in this document. A copy of this form has been given
289 to the participant or his/her representative.
290

_____	_____
Printed name of person obtaining consent	Signature of person obtaining consent
	_____ AM/PM
	Date and time

291
292 **Witness(es)** - *May be left blank if not required by the IRB*

_____	_____
Printed name of witness	Signature of witness
	_____ AM/PM
	Date and time
_____	_____
Printed name of witness	Signature of witness
	_____ AM/PM
	Date and time